

## Privacy Policy

Cherry Tree Wealth Management, LLC (CTW) is committed to client confidentiality and to protecting your privacy. The following information is provided to help you understand our privacy policy and how we will handle and maintain confidential personal information. “Personal information” refers to the nonpublic financial information obtained by us in connection with carrying out our services.

### Information We Collect

CTW collects personal information as part of our relationship as your investment advisor: for example, to open and maintain your accounts. The type of information we collect may include:

- ◆ Information CTW receives from you on forms (such as name, address, Social Security number, profile documents, assets and income)
- ◆ Information you provide us directly about your personal finances or personal circumstances or which we may receive from brokerage statements or other information you authorize us to receive

### Information Disclosed in Administering Products and Services

CTW will not disclose personal information about current or former clients to non-affiliated third parties except as permitted or required by law. We do not sell any personal information about you to any third party. We will not disclose personal information without your authorization, except as required or permitted by law.

Many of our clients have income tax and accounting relationships with tax preparers and tax planners. The financial information you provide to them may be used to help develop the strategies we recommend to reach your financial goals. Your financial situation and the information you provide to your tax preparer or planner may be used for financial planning and investment management services only with your written authorization. Similarly, the financial information available to CTW may be provided to them for tax preparation and tax planning services with your written authorization.

*Your Choice to Limit Marketing by our Affiliates:* Our related businesses value your privacy. Our affiliated businesses – investment banking and private equity – typically do not send marketing materials to CTW clients. If you wish, you may specifically request that you receive no future marketing offers and that we do not release any personal information to our affiliates for these purposes. Your decision to limit marketing offers from our affiliates will apply for five years. Once that period expires, you will be allowed to extend your decision. This limitation does not apply in certain circumstances, such as if you currently do business with one of our affiliates or if you ask to receive information or offers from them. Please contact our office to limit marketing by any of our affiliates. If you choose to limit marketing for your accounts, we will also limit marketing to any other owner on your accounts.

### Procedures to Protect Confidentiality and Security of Your Personal Information

CTW and our affiliates have procedures in place that limit access to personal information to those employees who need to know such information in order to perform business services. In addition, we maintain physical, electronic and procedural safeguards to guard your nonpublic personal information.

CTW will update its policy and procedures when necessary to ensure that your privacy is maintained and that we conduct business in a way that fulfills our commitment to you. If we make any material changes in our privacy policy, we will make that information available to clients our website and/or through written communication.

To contact our Compliance Officer, Jane Bortnem, call (952) 893-9012 or go to [www.cherrytree.com](http://www.cherrytree.com).

#### POLICY DEFINITIONS

*Affiliates - Companies related by common ownership or control. They can be financial and nonfinancial companies.  
Non-affiliates - Companies not related by common ownership or control. They can be financial and nonfinancial*